



1600 TYSONS BOULEVARD MCLEAN, VA 22102 703.905.2000 F: 703.905.2500

April 24, 2002

Lisa A. Kraemer
Client Practice Liaison
703.905.2254
lkraemer@pillsburywinthrop.com

Tracy Bruehs, Esq.
Georgetown University
4000 Reservoir Road, N.W.
Building D, Suite 177
Washington, D.C. 20007

Re: U.S. National Stage Patent Application 10/018,972
based on PCT/US01/17394; filed: December 26, 2001
Inventors: HAN et al.
Your Reference: HAMY 422003
Our Reference: 082137-0265292

Dear Tracy:

Enclosed please find a copy of the above-identified U.S. National Stage application as filed with the U.S. Receiving Office on December 26, 2001. This application was filed at the instruction of Jack Hartman and incorporates a novel compound not identified in the parent utility application, now U.S. Patent No. 6,043,276.

We have now received a copy of the Notification of Missing Requirements (enclosed herewith) requiring a newly executed Declaration and Power of Attorney. We include a Declaration, along with an Assignment, for execution by the inventors and return to us for filing with the U.S. Receiving Office. The deadline for filing these documents is May 11, 2002, which is extendible with the payment of additional fees.

If you have any questions, please do not hesitate to contact us.

Very truly yours,

Lisa A. Kraemer
Client Practice Liaison

Enclosure

Cc: Robin L. Teskin

Confirmation Report-Memory Send

Time : Jul-09-2002 17:24
Tel line 1 :
Name : PILLSBURY WINTHRP

Job number : 875
Date : Jul-09 17:22
To : 92026873111
Document Pages : 005
Start time : Jul-09 17:22
End time : Jul-09 17:24
Pages sent : 005
Status : OK

Job number : 875

*** SEND SUCCESSFUL ***



PILLSBURY WINTHROP

1600 TYSONS BOULEVARD MCLEAN, VA 22102 703.905.2000 F: 703.905.2500

FACSIMILE

Total Pages (including cover): 4

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Date:	July 9, 2002	Must Be Sent By:	
To:	Mr. Martin Mullins Vice President of Technology Transfer	Fax No:	202.687.3111
Company:	Georgetown University	Phone No:	202.687.4567
Confirm:		Confirmed By:	
From:	Robin L. Teskin	Phone No:	(703) 905-2200
User No:	14593	C/M No:	082137-265292
Comments:			

Re: U.S. National Stage Patent Application 10/018,972
based on PCT/US01/17394; filed: December 26, 2001
Inventors: HAN et al.
Your Reference: HAMY 422003
Our Reference: 082137-0265292

Dear Mr. Mullins:

Attached is a fax copy of an Assignment and Declaration for the above-identified application.

Please contact us if you have any questions.

Confidentiality Note
The documents accompanying this facsimile transmission may contain confidential information which is legally privileged. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, or the person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of any of the information contained in this transmission is strictly PROHIBITED. If you have received this transmission in error, please immediately notify us by telephone and mail the original transmission to us. Thank you.

If you have not properly received this fax, please call (703) 905-2000. Thank you.

Operator: _____ Time Sent: _____ Batch ID: _____

30300241 V1

Jul-09-2002 03:33am From-

**GEORGETOWN UNIVERSITY**

The Office of Technology Transfer
4000 Reservoir Road NW
Building D, Suite 177
Washington, DC 20007

202-687-0843 Tel.

202-687-3111 fax

e-mail: alcocer@georgetown.edu**FACSIMILE COVER SHEET****DATE:** July 8, 2002**NO. OF PAGES:** 2
(INCLUDING COVER SHEET)**TO:** Dr. Han**FAX:** 410-244-0902**PHONE:****FROM:** Silvana Alcocer
Legal Assistant

SAK

Re: Declaration/Power of Attorney and Assignment

COMMENTS: Attached, please find the above referenced documents. Kindly, please sign, change mailing address and date the documents and fax it to my attention at the fax number above. Please mail the original to our office.

Thank you kind.

****CONFIDENTIALITY NOTICE****

the intended recipient, or the employee responsible for delivering it to the intended recipient, you are hereby notified that any copying or dissemination of this facsimile, or the taking of any action related to the contents of this information is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the entire facsimile to the above address.

Jul-08-2002 03:33am From-

Please return signed/recorded to:
Pillsbury Winthrop LLP
Intellectual Property Group
1600 Tysons Boulevard
McLean, VA 22102

Atty. Dkt. PMS 0265292 | HAMY422003
M# | Client Ref.

ASSIGNMENT
of U.S. Origin Patent Application

WHEREAS, the undersigned, to wit:

- | | |
|-----------------------|--------------------|
| 1) <u>Myun K. HAN</u> | 2) <u>Paul LEE</u> |
| 3) _____ | 4) _____ |
| 5) _____ | 6) _____ |
| 7) _____ | 8) _____ |

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. 265292

and entitled: Compounds Obtained From Salvia Species Having Antiviral Activity

for which an application for Letters Patent of the United States

- ☐ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;
- ☒ was filed on December 26, 2001, Appln. No. 10/018,972 ;

AND WHEREAS Georgetown University

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of _____

and having its principal office and place of business at 4000 Reservoir Rd., NW, Washington, DC 20007

desires to acquire an interest therein;

NOW, THEREFORE, In consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

Jul-08-2002 03:36am From-

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

SignatureDate SignedWitness

1)

Name: Myun K. HAN

7/8/2002

2)

Name: Paul LEE

3)

Name:

4)

Name:

5)

Name:

6)

Name:

7)

Name:

8)

Name:

Jul-08-2002 03:34am From-

PW
FORMFOR UTILITY/DESIGN
CIP/PCT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONSRULE 83 (37 C.F.R. 1.83)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED Compounds Obtained from Salvia Species having Antiviral Activity.

the specification of which (CHECK applicable BOX(ES))

X ☐ is attached hereto: December 26, 2001 as U.S. Application No. 10/018,972
BOX(ES) ☒ B. ☒ was filed on December 26, 2001 on June 23, 2000
☒ C. ☒ was filed as PCT International Application No. PCT/ US00/17384

and (if applicable to U.S. or PCT application) was amended on June 23, 2000
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 386(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my designee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)
Number Country

Day/MONTH/Year Filed

Date first laid-
open or Published

Date Patented
or Granted

Priority NOT Claimed

If more prior foreign applications, X box at bottom and continue on attached page.
Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(a) or 120 and/or 365(c) of the indicated United States applications listed below and PCT International applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT International filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)
Application No. (serial code/serial no.) Day/MONTH/Year Filed
09/359,813 June 25, 1999
PCT/US00/17394 June 23, 2000

Status
pending, abandoned, patented
Patented
Pending

Priority NOT Claimed

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (703) 806-2000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to turn over all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to execute, from that Customer No. names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and/or by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or an attorney of that firm in writing to the contrary.

00909

00909

USE ONLY FOR
PILLSBURY WINTHROP

(1) INVENTOR'S SIGNATURE:		Date:	
Name	Myun	K.	HAN
First		Middle Initial	Family Name
Residence	Silver Spring	MD/US	US
City		State/Foreign Country	Country of Citizenship
Mailing Address	4000 Reservoir Rd., NW, Washington, DC		
(include Zip Code)	20007		

(2) INVENTOR'S SIGNATURE:		Date:	
Name	Paul	LEE	
First		Middle Initial	Family Name
Residence	Phoenix	MD/US	US
City		State/Foreign Country	Country of Citizenship
Mailing Address	4000 Reservoir Rd., NW, Washington, DC		
(include Zip Code)	20007		

☐ FOR ADDITIONAL INVENTORS see attached page.
☐ See additional foreign priorities on attached page (incorporated herein by reference).
Atty. Dkt. No. P0265292
(M#)



GEORGETOWN UNIVERSITY

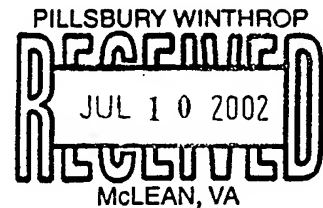
The Office of Technology Transfer
4000 Reservoir Road NW
Building D, Suite 103
Washington, DC 20007

202-687-0843 Tel.

202-687-3111 fax

e-mail: alcocers@georgetown.edu

FACSIMILE COVER SHEET



DATE: July 10, 2002

NO. OF PAGES: 2
(INCLUDING COVER SHEET)

TO: Robin L. Teskin
PILLSBURY WINTHROP, LLP

FAX: 703-905-2000

PHONE: 703-905-2200

FROM: Silvana Alcocer
Legal Assistant

RE: U.S. National Stage Patent Application 10/018,972
Based on PCT/US01/17394 filed December 26, 2001
Inventors: HAN et al.
Our Ref: HAMY42203
Your Ref: 82137-265292

COMMENTS: Attached, please find a Declaration/Power of Attorney and Assignment documents of the above-referenced application signed by Paul Lee. Kindly, please note that Dr. Han only signed the Assignment document without a witness. Please file a petition for the power of attorney document. An original will follow via FedEx today. Should you have any questions, please let us know.

Warm regards,

CONFIDENTIALITY NOTICE

the intended recipient, or the employee responsible for delivering it to the intended recipient, you are hereby notified that any copying or dissemination of this facsimile, or the taking of any action related to the contents of this information is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the entire facsimile to the above address.

Please return signed/recorded to:
 Pillsbury Winthrop LLP
 Intellectual Property Group
 1600 Tysons Boulevard
 McLean, VA 22102

Atty. Dkt.	PMS 0265292	HAMY422003
	M#	Client Ref.

ASSIGNMENT
of U.S. Origin Patent Application

WHEREAS, the undersigned, to wit:

- | | |
|----------------|-------------|
| 1) Myun K. HAN | 2) Paul LEE |
| 3) _____ | 4) _____ |
| 5) _____ | 6) _____ |
| 7) _____ | 8) _____ |

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. 265292

and entitled: Compounds Obtained From Salvia Species Having Antiviral Activity

for which an application for Letters Patent of the United States

- ☐ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;
- ☒ was filed on December 26, 2001, Appln. No. 10/018,972 ;

AND WHEREAS Georgetown University

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of _____

and having its principal office and place of business at 4000 Reservoir Rd., NW, Washington, DC 20007

desires to acquire an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

<u>Signature</u>	<u>Date Signed</u>	<u>Witness</u>
1) _____ Name: Myun K. HAN	_____	_____
2) <u>P. Lee</u> Name: Paul LEE	<u>7/10/02</u>	<u>Silvana Alcocer</u>
3) _____ Name: _____	_____	_____
4) _____ Name: _____	_____	_____
5) _____ Name: _____	_____	_____
6) _____ Name: _____	_____	_____
7) _____ Name: _____	_____	_____
8) _____ Name: _____	_____	_____

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NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

Signature

Date Signed

Witness

1)



Name: Myun K. HAN

7/8/2002

2)

Name: Paul LEE

3)

Name:

4)

Name:

5)

Name:

6)

Name:

7)

Name:

8)

Name:

**FOR UTILITY/DESIGN
CIP/PCT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS**

**RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PW
FORM**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED Compounds Obtained from Salvia Species having Antiviral Activity

the specification of which (CHECK applicable BOX(ES))
☒ A. ☐ is attached hereto.
 BOX(ES) ☒ B. ☒ was filed on December 26, 2001 as U.S. Application No. 10/018,972
☒ C. ☒ was filed as PCT International Application No. PCT/ US00/17394 on June 23, 2000
 and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)
 Number Country

Day/MONTH/Year Filed

Date first Laid-
open or Published

Date Patented
or Granted

Priority NOT Claimed

If more prior foreign applications, X box at bottom and continue on attached page.
 Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(a) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)
 Application No. (series code/serial no.) Day/MONTH/Year Filed Status Priority NOT Claimed
 09/339,813 June 25, 1999 Pending Patented
 PCT/US00/17394 June 23, 2000 Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (703) 905-2000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sent/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or an attorney of that firm in writing to the contrary.

00909
00909

**USE ONLY FOR
PILLSBURY WINTHROP**

(1) INVENTOR'S SIGNATURE:		Date:	
Name	Myun	K.	HAN
First		Middle Initial	Family Name
Residence	Sliver Spring	MD/US	US
City		State/Foreign Country	Country of Citizenship
Mailing Address	4000 Reservoir Rd., NW, Washington, DC		
(Include Zip Code)	20007		
(2) INVENTOR'S SIGNATURE:		Date: 7/10/02	
Name	Paul	LEE	
First		Middle Initial	Family Name
Residence	Phoenix	MD/US	US
City		State/Foreign Country	Country of Citizenship
Mailing Address	4000 Reservoir Rd., NW, Washington, DC 3827 Blenheim Rd. Phoenix MD		
(Include Zip Code)	20007 21131		

☐ FOR ADDITIONAL INVENTORS see attached page.
☐ See additional foreign priorities on attached page (Incorporated herein by reference).

Atty. Dkt. No. P0265292
 (M#)

Rule 56(a) & (b) = 37 C.F.R. 1.56(a) & (b)
PATENT AND TRADEMARK CASES - RULES OF PRACTICE
DUTY OF DISCLOSURE

- (a) ...Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the [Patent and Trademark] Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability... (b) Information is material to patentability when it is not cumulative and (1) it also establishes by itself, or in combination with other information, a prima facie case of unpatentability of a claim or (2) refutes, or is inconsistent with, a position the applicant takes in: (i) Opposing an argument of unpatentability relied on by the Office, or (ii) Asserting an argument of patentability

PATENT LAWS 35 U.S.C.

§102. Conditions for patentability; novelty and loss of right to patent

A person shall be entitled to a patent unless--

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months* before the filing of the application in the United States, or
- (e) the invention was described in
 - (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or
 - (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a); or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g)
 - (1) during the course of an interference conducted under section 135 or section 291, another inventor involved therein establishes, to the extent permitted in section 104, that before such person's invention thereof the invention was made by such other inventor and not abandoned, suppressed, or concealed, or
 - (2) before such person's invention thereof, the invention was made in this country by another inventor who had not abandoned, suppressed, or concealed it. In determining priority of invention under this subsection there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

§103. Condition for patentability; non-obvious subject matter

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made. . . .
- (c) Subject matter developed by another person, which qualified as prior art only under one or more of subsections (e), (f) and (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

* Six months for Design Applications (35 U.S.C. 172).

**RECORDATION FORM COVER SHEET
PATENT APPLICATIONS & PATENTS ONLY**

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:
SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Myun K. Han

2. Paul Lee

3.

4.

5.

6.

7.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☐ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: Georgetown University

ADDRESS: 4000 Reservoir Road., NW, Washington, DC 20007

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF☒ WHOLE☐ PART INTEREST☒ ORIGINAL☒ FACSIMILE/PHOTOCOPY☐ CHANGE OF NAME☐ VERIFIED TRANSLATION☐ SECURITY ☐ MERGER☐ OTHER:

EXEC. DATE: 07/08/02 & 07/10/02

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☐ NO

A. PAT. APP. NO.(S)

M#

1st INVENTOR

B. PATENT NO(S)

M#

1st INVENTOR

series code/serial no

if not in item 1

if not in item 1

10/018,792

0265292

Han

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:

Pillsbury Winthrop LLP
Intellectual Property Group
P.O. Box 10500 McLean, VA 22102

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL = 1

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5.5 ATTY DKT:

P 0265292

HAM422003

8. PLEASE CHARGE TO OUR DEPOSIT ACCOUNT

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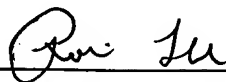
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Signature

Attorney: Robin L. Teskin

Reg. No. 35,030

Date: October 11, 2002

Atty/Sec: RXT/af

TEL: (703) 905-2200

FAX: (703) 905-2500

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Client Ref.

ASSIGNMENT
of U.S. Origin Patent Application

COPY

WHEREAS, the undersigned, to wit:

1) Myun K. HAN

2) Paul LEE

3)

4)

5)

6)

7)

8)

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. 265292

and entitled: Compounds Obtained From Salvia Species Having Antiviral Activity

for which an application for Letters Patent of the United States

☐ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

☒ was filed on December 26, 2001, Appln. No. 10/018,972 ;

AND WHEREAS Georgetown University

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of _____

and having its principal office and place of business at 4000 Reservoir Rd., NW, Washington, DC 20007

desires to acquire an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.


<u>Signature</u>	<u>Date Signed</u>	<u>Witness</u>
1) _____ Name: Myun K. HAN	_____	_____
2) <u><i>P. Lee</i></u> Name: Paul LEE	<u>7/18/02</u>	<u>Silvana Alcocer</u>
3) _____ Name: _____	_____	_____
4) _____ Name: _____	_____	_____
5) _____ Name: _____	_____	_____
6) _____ Name: _____	_____	_____
7) _____ Name: _____	_____	_____
8) _____ Name: _____	_____	_____

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE;

NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

<u>Signature</u>	<u>Date Signed</u>	<u>Witness</u>
1)  Name: Myun K. HAN	7/8/2002	
2) _____ Name: Paul LEE	_____	_____
3) _____ Name: _____	_____	_____
4) _____ Name: _____	_____	_____
5) _____ Name: _____	_____	_____
6) _____ Name: _____	_____	_____
7) _____ Name: _____	_____	_____
8) _____ Name: _____	_____	_____